| DEC 2 8 2000 E | PTTO/SB/21 (6-98)  Approved for use through 09/30/2000. OMB 0651-0031  Approved for use through 09/30/2000. OMB 0651-0031  Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. |  |                          |   |   |  |     |  |  |  |  |
|----------------|--|--|--------------------------|---|---|--|-----|--|--|--|--|
| THE THERE      | TRANSMITTAL FORM (to be used for all correspondence after initial filing)  |  |                          | Application Number  | 09/655,743  |  |     |  |  |  |  |
| HADEL          |  |  |                          | Filing Date   | 09/06/0   | 09/06/00   |     |  |  |  |  |
|                |  |  |                          | First Named Inventor  | Howe, Alice H.  |  |     |  |  |  |  |
|                |  |  |                          | Group Art Unit  | 3711  |  |     |  |  |  |  |
|                |  |  |                          | Examiner Name   |   |  |     |  |  |  |  |
|                | Total Number of P  | ages in This Submi   | ssion 104                | Attorney Docket Number  | MPH-99-   | -46  | ユ   |  |  |  |  |
| _              |  | ENCLOSURES (check all that apply)  |                          |   |   |  |     |  |  |  |  |
|                | Fee Transmitta   |  | Assign<br>(for an        | Assignment Papers (for an Application)  Drawing(s)                      |   | After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences |     |  |  |  |  |
|                | Fee Atta   |  | Licensing-related Papers |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |     |  |  |  |  |
|                | Amendment /  |  | Detitio                  | a Pouting Slip (PTO/SB/69)  | Proprietary Information   |  |     |  |  |  |  |
|                | After F  |  | Petitio                  | ccompanying Petition on to Convert to a                                 | Statu   | s Letter   | l   |  |  |  |  |
|                | Affidavits/declaration(s)  Extension of Time Request   |  | Provis                   | sional Application<br>r of Attorney, Revocation<br>ge of Correspondence | Addit   | ional Enclosure(s)<br>se identify below):  | ١   |  |  |  |  |
|                |  |  | Addre                    | ss .  | (piea   | Se lacinary 2012 by  |     |  |  |  |  |
|                | Express Aba  | Express Abandonment Request  |                          | nal Disclaimer  Entity Statement  |   |  |     |  |  |  |  |
|                | Certified Copy of Priority Document(s)  Response to Missing Parts/   |  |                          | est for Refund  |   | 7C<br>   |     |  |  |  |  |
|                |  |  | Remarks                  |   |   | JAN<br>700   | ₹EC |  |  |  |  |
|                |  |  |                          | <u></u>   |   | M <sub>A</sub> -ω  |     |  |  |  |  |
|                | Resp   | Incomplete Application  Response to Missing  |                          | ·   |   | 3 2001<br>AIL ROO  | VED |  |  |  |  |
|                | Parts under 37 CFR 1.52 or 1.53  |  |                          |   |   |  |     |  |  |  |  |
|                | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT   |  |                          |   |   |  |     |  |  |  |  |
|                | Firm<br>or<br>Individual name  |  |                          | , Attorney for Ap   |   |  |     |  |  |  |  |
|                | Signature  | n. Pal Here  |                          |   | <u> </u>  |  |     |  |  |  |  |
|                | Date   | December 20, 2000  |                          |   |   |  |     |  |  |  |  |
|                |  | CERTIFICATE OF MAILING   |                          |   |   |  |     |  |  |  |  |
|                | I hereby certify the   | I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date 12/21/00 |                          |   |   |  |     |  |  |  |  |

Signature

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| EK CE          | In re Ap  | plication   | of:   |   |                                  |
|                | TENNIS RACQUET EQUIPPED<br>WITH A TENNIS BALL RETRIEVER                   |   |   | ) Art Unit: 3711<br>)   |                                  |
|                | Alice H.  | Howe  |   | <ul><li>) Serial No.: 09/655,743</li><li>)</li><li>) Docket No.: MPH 99-</li></ul>  |                                  |
|                | Filed: 0  | 9/06/00   |   | )   |                                  |
| - W            | ssistant Comn<br>'ashington, D.'  | nissioner f                                       |   | RE STATEMENT (IDS)  | JAN -3 2001<br>TC 3700 MAIL ROOM |
| th<br>ap<br>ap | F.R. 1.97, 1.9 e listed docum pplication, and oplication or constitution. | 8, and it in the second any other ross-reference. | s requested that the info<br>onsidered during the pe<br>r application relying on<br>encing it as a related ap | ement is submitted in accordate to the community of the above-identification the filing date of the above-identification.  I dered, in accordance with 37 | ement and in<br>ed<br>identified |
|                | ]<br>ove-identified   | ] A. l national                                   |   | ns of the filing date of the arree months of the entry into eation.   | the national                     |
|                | [   | X] B.   | before the mailing  | date of a first office action o   | n the merits.                    |
|                | _   |   | s have made the necess  | above, but before final rejection are certification (box "i" below.   |                                  |
|                | (<br>[  | Check one   | of the boxes "i" and "i   | i" below:<br>hat, upon information and be   | lief each                        |

item of information listed herein was either (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the



filing of this IDS or (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and was not known to any individual designated

in 1.56(c) more than three months prior to the filing of this IDS. Please charge the fee set forth in 1.17 (p), presently believed to be \$200, to Deposit Account \_\_\_\_\_. ii. [] after (A), (B), and (C) above, but before payment of the issue fee. Applicant petitions under 37 C.F.R. 1.97(d) for consideration of this IDS. Please charge the fee set forth in 1.17 (i) (1), presently believed to be \$130, to Deposit Account \_\_\_\_\_. Counsel certifies that, upon information and belief, each item of information listed herein was either (i) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS or (ii) was not cited in a communication from a foreign patent office in a counterpart foreign application and was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS. In accordance with 37 C.F.R. 1.98, this IDS includes a list of all patent, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. Copies of the documents are attached, except as explained below. (check boxes A and/or B and fill in blanks, if appropriate.) Documents \_\_\_\_\_ are deemed substantially cumulative to documents \_\_\_\_\_\_, and, in accordance with 1.98(c), only copies of the latter documents are enclosed. Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. Filing Date 120: Serial Number No preliminary patentability search was conducted upon C.

the invention described and claimed in this application. Applicants identify these documents by attaching hereto copies of the form PTO-892s and PTO-1449s from the files of the prior applications or a fresh PTO-1449 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 C.F.R. 1.98(d), copies of these documents need not be filed in this application.

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| DEC 2 8 2000 H  | are not in English   |
|   | Documents are not as a constant of the c |
| ( )   | English translation of documents <u>French 2-574 6 s</u> (or of the pertinent portions thereof), or copies of corresponding Englishlanguage applications, are enclosed.  |
| []  | A concise explanation of the relevance of documents  is found in the attached search report from the  Patent Office (see reply to Comment 68 in the  preamble to the final rules; 1135 OG 13 at 20).   |
| []  | A concise explanation of the relevance of documents is set forth in an Attachment.   |
| 4.<br>English language (se  | No explanation of relevance is necessary for documents in the ee reply to Comments 67 and 68 in the preamble to the final rules;   |
| 5. should not be constrainformation cited is 1.56(b), or that any otherwise indicated the item and Applic | In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this IDS rued as a representation that a search has been made or that, or is considered to be, material to patentability as defined in Section cited document listed or attached is (or constitutes) prior art. Unless I, the date of publication indicated for an item is taken from the face of cant reserves the right to prove that the date of publication is in fact   |
| different.  | Respectfully submitted,  |
|   | M. Paul Hendrickson Attorney for Applicant Reg. No. 24,523   |

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